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TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

INTERNATIONAL APPLICATION NO.  
PCT/IB00/00955INTERNATIONAL FILING DATE  
3 JULY 2000PRIORITY DATE CLAIMED  
5 JULY 1999TITLE OF INVENTION  
BROADCASTING AND RECEIVING MESSAGES

APPLICANT(S) FOR DO/EO/US

Laurent FICHET; Thierry LEPINE

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3.  This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4.  A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5.  A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a.  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  has been transmitted by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US).
6.  A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7.  A copy of the International Search Report (PCT/ISA/210).
8.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a.  are transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  have been transmitted by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
9.  A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10.  An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11.  A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12.  A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

## Items 13 to 20 below concern document(s) or information included:

13.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15.  A **FIRST** preliminary amendment.
16.  A **SECOND** or **SUBSEQUENT** preliminary amendment.
17.  A substitute specification.
18.  A change of power of attorney and/or address letter.
19.  Certificate of Mailing by Express Mail
20.  Other items or information:

U.S.APPLICATION NO. (IF KNOWN) 37 CFR	INTERNATIONAL APPLICATION NO. PCT/IB00/00955	ATTORNEY'S DOCKET NUMBER 11345/044001																					
21. The following fees are submitted:		CALCULATIONS PTO USE ONLY																					
<b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</b>																							
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<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$670.00																							
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$96.00																							
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Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 \$0.00																							
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>53 - 20 =</td> <td>33</td> <td>x \$18.00 <b>\$594.00</b></td> </tr> <tr> <td>Independent claims</td> <td>7 - 3 =</td> <td>4</td> <td>x \$84.00 <b>\$336.00</b></td> </tr> <tr> <td colspan="2"><input type="checkbox"/> Multiple Dependent Claims (check if applicable).</td> <td></td> <td><b>\$0.00</b></td> </tr> <tr> <td colspan="3" style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td><b>\$1,820.00</b></td> </tr> </tbody> </table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	53 - 20 =	33	x \$18.00 <b>\$594.00</b>	Independent claims	7 - 3 =	4	x \$84.00 <b>\$336.00</b>	<input type="checkbox"/> Multiple Dependent Claims (check if applicable).			<b>\$0.00</b>	<b>TOTAL OF ABOVE CALCULATIONS =</b>			<b>\$1,820.00</b>
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Fee for recording the enclosed assignment (37 CFR 1.21(n)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input checked="" type="checkbox"/> \$40.00																							
<b>TOTAL FEES ENCLOSED = \$1,860.00</b>																							
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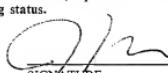
The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **50-0591** A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

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NAME \_\_\_\_\_

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REGISTRATION NUMBER

  
DATE

## BROADCASTING AND RECEIVING MESSAGES

The invention relates to methods of and apparatus for broadcasting and receiving text messages in broadcast systems such as television, radio, cellular phone or other such systems.

Broadcast systems are used for transmitting signals, such as digital or analogue television signals, from a broadcast centre to a user, via a medium such as a satellite, cable or terrestrial broadcast link. Receiver/decoders at the user end are used for receiving and/or decoding the signals, typically for input to a television set. In the case of digital transmission, digital channels are encoded into a digital data stream at the transmitter end, and are decoded at the receiver end using a receiver/decoder, which may typically be either in a digital set-top box (DSTB) or in an integrated digital television. In the case of analogue transmission, a receiver/decoder may be provided at the user to convert the transmitted signals into a format for input to a television set.

In known broadcast systems, text messages may be sent along with a transmitted signal. A suitably equipped receiver/decoder extracts the text message from the transmitted signal for display on a television screen. Such messages are accessible by all users with suitably equipped receiver/decoders.

Known system for sending text messages tend to be inflexible.

- 25 A first aspect of the present invention provides a method of broadcasting a message having a text portion to be communicated to a user, the method comprising broadcasting the message in the form of an entitlement management message for reception by the user.
- 30 Entitlement management messages (EMMs) are normally linked to access control, and have a certain structure. The present invention enables existing apparatus, such as message generators, STM servers, ciphering units, EMM injectors and message emitters, used to generate and broadcast entitlement management messages carrying access control data to be used to generate and broadcast messages including a text

- 2 -

portion to be communicated to a user, thus providing a convenient way in which to send the message to a user, and may also provide the advantage that the message can be encrypted using existing encryption mechanisms to ensure the confidentiality of the message. It will be appreciated that although the message takes the form of, or is 5 based on the structure of, an entitlement management message, it may not necessarily be used for managing entitlement.

Furthermore, by broadcasting such messages in the form of an entitlement management message, the messages can be received by a receiver/decoder already 10 configured to receive entitlement management messages carrying access control data without the need to reconfigure the receiver/decoder, for example, to receive an alternative form of message.

Preferably, the message includes at least one identifier to define a group of users for 15 whom the message is intended. This provides the advantage that a message may be sent to a group of users without the need for the message to be sent individually to every user in that group. This may also provide the advantage that the message is communicated only to users in the group, which can prevent messages being communicated to users for whom they are not intended. This may provide a more 20 efficient way of communicating messages to a group of users.

The text portion is preferably a section of text, for example in the form of coded or 25 uncoded ASCII characters, which text may be for display, for example, on a user's television screen; alternatively, for example in the case of a radio system, the text could be announced to the user.

The group of users may be a selected group, selected from the totality of users, and the method may include the step of selecting the group and including in the message at least one identifier to define the selected group.

30 A group of users may comprise two or more users. Each identifier may be an identifier of a group having a particular characteristic. In this way, the message may be directed to those users having a certain characteristic. The characteristic may be selected from at least one of geographic area, customer category, subscription to a

- 3 -

particular commercial offer, purchase of a particular product, and purchase of a particular session. As used herein, a commercial offer is preferably the right to view a channel or a number of channels (known as a "bouquet"); a product is preferably a programme, or a set of programmes, to which a user has subscribed; and a session 5 is preferably a time slot on a certain channel. It will be appreciated that the audience may be split into different customer categories, such as cinema, football, rugby, age, social category etc. The invention extends to any division of users that can be identified by the operator sending the message.

10 It may be desired to send messages to users having a particular combination of characteristics, and thus the message may include identifiers of a plurality of characteristics. The message may further include at least one operator defining how those identifiers are to be combined. An operator may define at least one logical operator, such as AND, OR, NOT and/or XOR.

15 The message may include a type specifier specifying that the message is of a type which includes a text portion.

20 The message may include a priority specifier for specifying the priority of the message. This may for example be used to vary the way in which the message is communicated to the user.

25 Preferably, the message includes a signature for verifying the contents of the message. The message may be encrypted. To ensure reception by a chosen user, the message may be repetitively broadcast at a predetermined cycle rate.

In a second aspect the present invention provides a method of communicating a message having a text portion to a user, the method comprising receiving at a receiver/decoder the message in the form of an entitlement management message, and 30 outputting from the receiver/decoder a signal representative of the text portion for communication to the user.

Preferably, the message includes at least one identifier to define a group of users for whom the message is intended. The method may further comprise determining

- 4 -

whether the user is in said group of users. The determining step may comprise comparing the identifier to a stored identifier (preferably stored at the user's receiver/decoder). In this way a receiver/decoder may be able to determine whether or not the message is intended for the corresponding user.

5

The method may further comprise generating a signal for advising of receipt of the message; for example, an indication may be produced on a display of the receiver/decoder, or a message or icon may be displayed on the screen of a television connected to the receiver/decoder. In this way a user may be advised of the receipt

10 of a message without the message itself being communicated to the user.

The signal representative of the text portion may be a display signal for enabling the text to be displayed on a user's television set.

15 The step of outputting a signal representative of the text may be carried out in response to a request from the user, or it may be carried out automatically without any (direct) user action.

20 For example, the signal may be output automatically from the receiver/decoder in dependence on the value of a priority specifier included in the message which specifies the priority of the message. Thus, for example, if an urgent message such as a hurricane warning is to be communicated to the user, this message could be assigned a high priority and could be displayed directly on a user's television set as soon as the message is received by the user's receiver/decoder, whereas other messages might be assigned a lower priority and would only be displayed on the user's television set in response to a request from the user.

25 The message may include an identifier of the message, and the method may further comprise comparing the identifier with an identifier of a previously received message.

30 If the comparison reveals that the received message has the same identifier as a previously received message, then preferably the most recently received message is discarded. In this way it may be ensured that a message is not processed more than once.

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- 5 -

In a third aspect of the invention there is provided apparatus for generating a message having a text portion to be communicated to a user, said apparatus comprising means (for example, a message generator) for generating the message in the form of an entitlement management message for reception by the user.

5

Preferably, means (such as a multiplexer) is provided for inserting the message into a signal for broadcast.

Preferably, the message includes at least one identifier to define a group of users for

10 whom the message is intended. The apparatus may comprise means (for example, a system) for selecting said group from the totality of users and means (for example, a message generator) for including in said message at least one identifier to define the selected group.

15 Preferably, each identifier is an identifier of a group having a particular characteristic. The characteristic may be selected from at least one of geographic area, customer category, subscription to a particular commercial offer, purchase of a particular product, and purchase of a particular session.

20 Preferably, the apparatus comprises means (for example, a message generator) for including in said message at least one operator defining how a plurality of said identifiers are to be combined.

Preferably, the apparatus comprises means (for example, a message generator) for

25 including in said message a type identifier identifying that the message is intended for a group of users only.

Preferably, the apparatus comprises means (for example, a message generator) for

30 including in said message a type specifier specifying that the message is of a type which includes a text portion.

Preferably, the apparatus comprises means (for example, a message generator) for including in said message a priority specifier for specifying the priority of the message.

- 6 -

Preferably, the apparatus comprises means (for example, a message generator) for generating a signature for verifying the contents of the message.

Preferably, the apparatus comprises means (for example, a ciphering unit) for 5 encrypting the message.

In a related fourth aspect, the present invention provides apparatus for broadcasting a message generated by apparatus as defined above, comprising means (such as a transmitter) for broadcasting said message in the form of an entitlement management 10 message to a user's receiver/decoder.

The transmitter may be adapted to repetitively broadcast the message at a predetermined cycle rate.

15 15 In a fifth aspect, the present invention provides a receiver/decoder comprising means (for example, a receiver) for receiving a message in the form of an entitlement management message having a text portion, and output means (for example, an output) for outputting a signal representative of the text portion for communication to a user.

20 20 Preferably, the message includes at least one identifier to define a group of users for whom the message is intended. Preferably, the receiver/decoder comprises means (for example, a suitably programmed processor) for determining whether the user is in the group of users, said output means outputting said signal representative of the text portion if the user is in the group of users.

25 25 Preferably, the receiver/decoder comprises means (for example, a processor) for comparing said at least one identifier with at least one identifier stored at the receiver/decoder to determine whether the user is in the group of users.

30 30 Preferably, the message includes at least one operator defining how a plurality of said identifiers are to be combined, the receiver/decoder comprising means (for example, a processor) for applying said at least one operator to said plurality of identifiers to determine whether the user is in the group of users.

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- 7 -

Preferably, the receiver/decoder comprises means (for example, a signal generator) for generating a signal for advising the user of receipt of the message by the receiver/decoder.

5 Preferably, the receiver/decoder comprising means (such as an input) for receiving a request from the user for output of the signal representative of the text portion.

The output means may be adapted to output automatically the signal representative of the text portion, for example, in dependence on the value of a priority specifier

10 included in the message which specifies the priority of the message.

In a sixth aspect, the present invention provides an entitlement management message comprising a text portion for communication to a user.

15 In a seventh aspect, the present invention provides a signal comprising a message as aforementioned.

Features described above relating to method aspects may be applied to apparatus, receiver/decoder, message or signal aspects, and *vice versa*.

20 The invention extends to methods and/or apparatus substantially as herein described with reference to the accompanying drawings.

Preferred features of the present invention will now be described, purely by way of  
25 example, with reference to the accompanying drawings, in which:-

Figure 1 shows an overview of a typical digital television system;

Figure 2 shows an overview of a conditional access system;

Figure 3 shows the structure of an Entitlement Management Message (EMM);

30 Figure 4 shows the structure of a Subscriber Authorization System;

Figure 5 shows the structure of an Audience Mail Message command;

Figure 6 shows the structure of an Audience Mail Message EMM; and

Figure 7 shows the structure of part of a receiver/decoder.

**System Overview**

An overview of a digital television system 1 is shown in Figure 1. The invention includes a mostly conventional digital television system 2 that uses the known MPEG-2 compression system to transmit compressed digital signals. In more detail, MPEG-2 5 compressor 3 in a broadcast centre receives a digital signal stream (typically a stream of video signals). The compressor 3 is connected to a multiplexer and scrambler 4 by linkage 5.

The multiplexer 4 receives a plurality of further input signals, assembles the transport 10 stream and transmits compressed digital signals to a transmitter 6 of the broadcast centre via linkage 7, which can of course take a wide variety of forms including telecommunications links. The transmitter 6 transmits electromagnetic signals via uplink 8 towards a satellite transponder 9, where they are electronically processed and broadcast via notional downlink 10 to earth receiver 12, conventionally in the form 15 of a dish owned or rented by the end user. Other transport channels for transmission of the data are of course possible, such as terrestrial broadcast, cable transmission, combined satellite/cable links, telephone networks etc.

The signals received by receiver 12 are transmitted to an integrated receiver/decoder 20 13 owned or rented by the end user and connected to the end user's television set 14. The receiver/decoder 13 decodes the compressed MPEG-2 signal into a television signal for the television set 14. Although a separate receiver/decoder is shown in Figure 1, the receiver/decoder may also be part of an integrated digital television. As used herein, the term "receiver/decoder" includes a separate receiver/decoder, 25 such as a set-top box, and a television having a receiver/decoder integrated therewith.

In a multichannel system, the multiplexer 4 handles audio and video information received from a number of parallel sources and interacts with the transmitter 6 to broadcast the information along a corresponding number of channels. In addition to 30 audiovisual information, messages or applications or any other sort of digital data may be introduced in some or all of these channels interlaced with the transmitted digital audio and video information.

A conditional access system 15 is connected to the multiplexer 4 and the

receiver/decoder 13, and is located partly in the broadcast centre and partly in the receiver/decoder. It enables the end user to access digital television broadcasts from one or more broadcast suppliers. A smartcard, capable of deciphering messages relating to commercial offers (that is, one or several television programmes sold by the broadcast supplier), can be inserted into the receiver/decoder 13. Using the receiver/decoder 13 and smartcard, the end user may purchase commercial offers in either a subscription mode or a pay-per-view mode.

As mentioned above, programmes transmitted by the system are scrambled at the multiplexer 4, the conditions and encryption keys applied to a given transmission being determined by the access control system 15. Transmission of scrambled data in this way is well known in the field of pay TV systems. Typically, scrambled data is transmitted together with a control word for descrambling of the data, the control word itself being encrypted by a so-called exploitation key and transmitted in encrypted form.

The scrambled data and encrypted control word are then received by the receiver/decoder 13 having access to an equivalent to the exploitation key stored on a smart card inserted in the receiver/decoder to decrypt the encrypted control word and thereafter descramble the transmitted data. A paid-up subscriber will receive, for example, in a broadcast monthly EMM (Entitlement Management Message) the exploitation key necessary to decrypt the encrypted control word so as to permit viewing of the transmission.

25 An interactive system 16, also connected to the multiplexer 4 and the receiver/decoder 13 and again located partly in the broadcast centre and partly in the receiver/decoder, enables the end user to interact with various applications via a modemmed back channel 17. The modemmed back channel may also be used for communications used in the conditional access system 15.

30

## Conditional Access System

With reference to Figure 2, in overview the conditional access system 15 includes a Subscriber Authorization System (SAS) 30. The SAS 30 is connected to one or more Subscriber Management Systems (SMS) 32, one SMS for each broadcast supplier, by

- 10 -

a link 34, which may be a TCP-IP link or other type of link. Alternatively, one SMS could be shared between two commercial operators, or one operator could use two SMSs, and so on.

5 First encrypting units in the form of ciphering units 36 utilising "mother" smartcards 38 are connected to the SAS by linkage 40. Second encrypting units again in the form of ciphering units 42 utilising mother smartcards 44 are connected to the multiplexer 4 by linkage 46. The receiver/decoder 13 receives a "daughter" smartcard 48. The receiver/decoder is connected directly to the SAS 30 via Communications Servers 50  
10 and the modemmed back channel 17. The SAS sends amongst other things subscription rights to the daughter smartcard on request.

The smartcards contain confidential information from one or more commercial operators. The "mother" smartcard encrypts different kinds of messages and the  
15 "daughter" smartcards decrypt the messages, if they have the rights to do so.

With reference to Figure 2, in the broadcast centre, the digital video signal is first compressed (or bit rate reduced), using the MPEG-2 compressor 3. This compressed signal is then transmitted to the multiplexer and scrambler 4 in order to be multiplexed  
20 with other data, such as other compressed data.

The scrambler generates a control word used in the scrambling process and included in the MPEG-2 stream in the multiplexer 4. The control word is generated internally and enables the end user's integrated receiver/decoder 13 to descramble the  
25 programme.

Access criteria, indicating how the programme is commercialised, are also added to the MPEG-2 stream. The programme may be commercialised in either one of a number of "subscription" modes and/or one of a number of "Pay Per View" (PPV)  
30 modes or events. In the subscription mode, the end user subscribes to one or more commercial offers, or "bouquets", thus getting the rights to watch every channel inside those bouquets. In the Pay Per View mode, the end user is provided with the capability to purchase events as he wishes.

- 11 -

Both the control word and the access criteria are used to build an Entitlement Control Message (ECM); this is a message sent in relation with one scrambled program; the message contains a control word (which allows for the descrambling of the program) and the access criteria of the broadcast program. The access criteria and control word 5 are transmitted to the second encrypting unit 42 via the linkage 46. In this unit, an ECM is generated, encrypted and transmitted on to the multiplexer and scrambler 4.

Each service broadcast by a broadcast supplier in a data stream comprises a number of distinct components; for example a television programme includes a video 10 component, an audio component, a sub-title component and so on. Each of these components of a service is individually scrambled and encrypted for subsequent broadcast. In respect of each scrambled component of the service, a separate ECM is required.

15 The multiplexer 4 receives electrical signals comprising encrypted EMMs from the SAS 30, encrypted ECMs from the second encrypting unit 42 and compressed programmes from the compressor 3. The multiplexer 4 scrambles the programmes and transmits the scrambled programmes, the encrypted EMMs and the encrypted ECMs as electric signals to broadcast system 54, which may be for example a satellite system 20 as shown in Figure 1, or other broadcast system. The receiver/decoder 13 demultiplexes the signals to obtain scrambled programmes with encrypted EMMs and encrypted ECMs.

The receiver/decoder receives the broadcast signal and extracts the MPEG-2 data 25 stream. If a programme is scrambled, the receiver/decoder 13 extracts the corresponding ECM from the MPEG-2 stream and passes the ECM to the "daughter" smartcard 48 of the end user. This slots into a housing in the receiver/decoder 13. The daughter smartcard 48 controls whether the end user has the right to decrypt the ECM and to access the programme. If not, a negative status is passed to the 30 receiver/decoder 13 to indicate that the programme cannot be descrambled. If the end user does have the rights, the ECM is decrypted and the control word extracted. The decoder 13 can then descramble the programme using this control word. The MPEG-2 stream is decompressed and translated into a video signal for onward transmission to television set 14.

- 12 -

If the programme is not scrambled, no ECM will have been transmitted with the MPEG-2 stream and the receiver/decoder 13 decompresses the data and transforms the signal into a video signal for transmission to television set 14.

- 5 The Subscriber Management System (SMS) 30 includes a database 52 which manages, amongst others, all of the end user files, commercial offers (such as tariffs and promotions), subscriptions, PPV details, and data regarding end user consumption and authorization. The SMS may be physically remote from the SAS.
- 10 The SMS 32 transmits messages to the SAS 30 which imply modifications to or creations of Entitlement Management Messages (EMMs) to be transmitted to end users. The SMS 32 also transmits messages to the SAS 30 which imply no modifications or creations of EMMs but imply only a change in an end user's state (relating to the authorization granted to the end user when ordering products or to the amount that the end user will be charged). The SAS 30 also sends messages (typically requesting information such as call-back information or billing information) to the SMS 32, so that it will be apparent that communication between the two is two-way.
- 15

#### **Entitlement Management Messages (EMMs)**

- 20 The EMM is a message dedicated to an individual end user (subscriber), or a group of end users, only, in contrast with an ECM, which is dedicated to one scrambled programme only or a set of scrambled programmes if part of the same commercial offer.
- 25 Various specific types of EMM are possible. Individual EMMs are dedicated to individual subscribers, and are typically used in the provision of Pay Per View services; these contain the group identifier and the position of the subscriber in that group. So-called "Group" subscription EMMs are dedicated to groups of, say, 256 individual users, and are typically used in the administration of some subscription services. Audience EMMs are dedicated to entire audiences. An "audience" is the totality of subscribers having smartcards which bear the same Operator Identifier (OPI). Finally, a "unique" EMM is addressed to the unique identifier of the smartcard.
- 30

- 13 -

In preferred embodiments, audience EMMs are used to convey messages to specific groups of users.

The general form of an EMM which is used in the preferred embodiments is now 5 described with reference to Figure 3. Basically, the EMM, which is implemented as a series of digital data bits, comprises a header 60, the EMM proper 62, and a signature 64. The header 60 in turn comprises a type identifier 66 to identify the type of EMM, a length identifier 68 which gives the length of the EMM, an optional address 70 for the EMM, an operator identifier 72 and a key identifier 74. Finally, 10 the signature 64, which is also optional, provides a number of checks against corruption of the remaining data in the EMM. The type identifier in the header identifies the message as an EMM.

#### **Subscriber Authorization System (SAS)**

15 Messages generated by the SMS 32 are passed via linkage 34 to the Subscriber Authorization System (SAS) 30, which in turn generates messages acknowledging receipt of the messages generated by the SMS 32 and passes these acknowledgements to the SMS 32. Messages which may be passed to the SAS include subscriber suspension, for example, due to non-payment, subscriber modification, for example to 20 add or remove certain commercial offers, and provide rights, for example for a specific event in PPV mode.

The SAS 30 manages databases that store the status of all subscribers declared by the SMS 32. According to the status and the various messages sent by the SMS, the SAS 25 generates EMMs for the subscribers' smartcards. The EMMs are ciphered by the SAS ciphering units 36 and sent to the multiplexer 4. To ensure that the EMMs are received by the subscriber, the SAS sends these messages cyclically. The cycle depends on the type of EMM, but is typically between 30 seconds and 30 minutes.

30 A typical configuration of the SAS 30 is shown in Figure 4. In overview the SAS 30 comprises a Subscription Chain area 100 to give rights for subscription mode and to renew the rights automatically each month, a Pay Per View (PPV) Chain area 102 to give rights for PPV events, and an EMM Injector 104 for passing EMMs created by the Subscription and PPV chain areas to the multiplexer and scrambler 4, and hence

to feed the MPEG stream with EMMs. If other rights are to be granted, such as Pay Per File (PPF) rights in the case of downloading computer software to a user's Personal Computer, other similar areas are also provided.

5 One function of the SAS 30 is to manage the access rights to television programmes, available as commercial offers in subscription mode or sold as PPV events according to different modes of commercialisation (pre-book mode, impulse mode). The SAS 30, according to those rights and to information received from the SMS 32, generates EMMs for the subscriber.

10

The Subscription Chain area 100 comprises a Command Interface (CI) 106, a Subscriber Technical Management (STM) server 108, a Message Generator (MG) 110, and the Ciphering Unit 36. The PPV Chain area 102 comprises an Authorisation Server (AS) 112, Database Servers 114, 116 which contain relational databases for

15

storing relevant details of the end users, Order Centralized Server (OCS) 118, a Server for Programme Broadcaster (SPB) 120, a Message Generator (MG) 122 whose function is basically the same as that for the Subscription Chain area, and Ciphering Unit 36.

20

The EMM Injector 104 comprises a plurality of Message Emitters (MEs) 124, 126, 128 and 130 and Software Multiplexers (SMUXs) 132 and 134. In the preferred embodiment, there are two MEs, 124 and 126 for the Message Generator 132, with the other two MEs 128 and 130 for the Message Generator 134. MEs 124 and 126 are connected to the SMUX 132 whilst MEs 128 and 130 are connected to the SMUX

25 134.

—

The Message Generators 110 and 122 transform commands issued by the STM 108 and the OCS 118, respectively, into EMMs. The MGs determine the duration and the rate of emission of the EMMs. The MGs also cipher the EMMs using a dedicated ciphering unit.

30

They then pass the ciphered EMM to the respective MEs, which transmit the EMMs cyclically. As shown in Figure 4, more than one ME can be connected to a single MG, the appropriate ME being determined by the MG according to the operator referred to in the EMM. During the lifetime of a given EMM, the MG stores it inside its own database. The EMM is erased from the database as soon as its

- 15 -

emission duration has expired. This database ensures consistency between the MG and ME.

5 The Message Emitters 124, 126, 128, 130 receive EMMs from the respective MGs along with several parameters, such as broadcast start date, broadcast stop date, and broadcast cycle. The MGs then manage the broadcast of the EMMs according to the specified parameters.

#### Mail Messages

10 The present broadcasting system 1 is adapted to send mail messages, typically in the form of an ASCII string, from the commercial operator (broadcast supplier) at the SMS to a user's receiver/decoder. Mail messages generally consist of text messages which are intended for display on a user's television set. Mail messages may be sent in the form of a particular type of EMM, or by other means. In the present 15 embodiment so-called Audience Mail Messages are used to convey mail messages to particular groups of subscribers.

Audience Mail Messages allow a commercial operator to send a message to all users in a particular group, without the need to address each user in the group individually.

20 This can optimise the bandwidth used for transmitting messages, since few messages need be transmitted.

The users to whom an Audience Mail Message is addressed may be selected depending on one or more parameters. Examples of such parameters are as follows:

25 - Geographic zone. The message is only available to users within the specified geographic zone.

- Customer category. The message is only available to users having a specified customer category such as cinema, football, rugby, age, etc.

- Commercial offer. The message is only available to subscribers to the specified commercial offer.

30 - Session number. The message is only available to users who have access to the specified session.

- Product number. The message is only available to users to a particular product.

- 16 -

In this way, messages intended for certain groups of users may be directed to users within that group but not to other users. For example, a bad weather warning may be directed to users within a specific geographic zone, or people who subscribed to a particular film (with an associated product number) could be informed that the sequel 5 to that film will be broadcast, or people who subscribe to a particular channel (as part of a commercial offer), such as a wildlife channel could be informed that a particular wildlife programme is about to begin.

In order to send an Audience Mail Message, the operator sends an Audience Mail 10 Message command from the SMS 32 to the SAS 30. Referring to Figure 5, Audience Mail Message command 150 comprises a command identifier 152, which identifies the command as an Audience Mail Message command, operator identifier 154, which identifies the operator, one or more identifiers 156 which specify the group of users for whom the mail message is intended, a priority field 158, and the text message 160 15 in the form of an ASCII string.

In a preferred embodiment the group addressed depends on one of more of at least 20 geographic area, customer category, commercial offer, session number and product number. Depending on the implementation, only one identifiers may be used with any one mail message, or a plurality of identifiers may be used. In the latter case, the plurality of identifiers may be combined using logical operators such as AND, OR, XOR and/or NOT, as specified in the command.

Use of logical operators enables several criteria to be used to target specific groups of 25 users. As an example, several geographic zones may exist, Marseille being one of them, and Paris another, and the audience may have been split into different customer categories, such as cinema, football, rugby etc. If the product reference for a specific football match between Marseille and Paris is 1234, then a message could be sent to fans of the two clubs who have not yet purchased the match, advising them of the 30 match, by using the following combination:

(Geographical\_Zone = Marseille OR Geographical\_Zone = Paris) AND Customer\_Category = Football AND NOT Product = 1234

- 17 -

In certain implementations parentheses may not be available, in which case two messages could be sent to groups of users using the following combinations:

Geographical\_Zone = Marseille AND Customer\_Category = Football AND NOT Product =

5 1234

Geographical\_Zone = Paris AND Customer\_Category = Football AND NOT Product = 1234

In either case, the logical operators which are to be used are defined by the operator

10 and included in parameters 156 in command 150 from the SMS 32 to the SAS 30.

The priority field 158 specifies the priority of the message. If the priority field is left blank, the message is assigned a normal priority.

15 When the SAS receives an Audience Mail Message command it constructs a special EMM in order to transmit the message to the user. Referring again to Figure 4, the command sent from the SMS is received by the Command Interface (CI) 106 and passed to the Subscriber Technical Management (STM) server 108. The STM server 108 identifies the command as an Audience Mail Message command. It then sends 20 a command to the Message Generator (MG) to generate an Audience Mail Message EMM.

The Message Generator (MG) 110 generates an Audience Mail Message in the form of an EMM on receiving the command from the STM server 108. The structure of

25 the Audience Mail Message EMM is shown in Figure 6. Referring to Figure 6, the EMM 200 comprises a header 202, EMM proper 208, and, optionally, signature 226. Header 202 contains a type identifier 204 and an operator identifier 206 as well as other information as described above with reference to Figure 3. The type identifier identifies the EMM as a group EMM, that is, as specific to a group of users.

30 The EMM proper 208 comprises an identifier 210 which identifies the EMM as an Audience Mail Message EMM, a number of identifiers 212, 214, 216, 218 which specify the group to which the EMM is addressed, a logical operator field 220 which specifies one or more logical operator, a priority specifier 222, index 224, and the text

message 226.

In a preferred embodiment, each identifier 212, 214, 216, 218 comprises two parts, the first part specifying which characteristic that identifier relates to, and the second 5 specifying the value. In an alternative embodiment, each characteristic is allocated a particular part of the EMM, in which case each identifier merely comprises a value.

Referring to Figure 6, in a particular example, identifier 212, which is two bytes long, specifies a commercial offer; identifier 214, which is also two bytes long, specifies a 10 geographic zone; identifier 216, which is three bytes long, specifies a session number; and identifier 218, which is three bytes long, specifies a product number. In any particular EMM, one or more of the above identifiers may be missing; for example, a simple implementation may include a single identifier having a first part specifying which characteristic that identifier relates to, and a second part specifying the value.

15 Logical operator field 220 specifies one or more logical operator, such as AND, OR, XOR and/or NOT that is to be applied to the identifiers 212, 214, 216 and 218, as specified in the command 150 from the SMS 32 to the SAS 30. The priority specifier 222 takes a value depending on the priority of the message, as specified by the 20 operator in the command from the SMS to the SAS. Index 224 takes a value which is specific to that particular EMM, so that that EMM can be identified. Mail message 226, which is typically 89 bytes long, contains the actual text message, in the form of an ASCII string, which is to be conveyed to the user.

25 The MG 110 also determines the broadcast start and stop time and cycle rate of the EMM. The length of time for which a EMM is to be broadcast and the cycle rate are generally set to a certain value for Audience Mail Message EMMs, but they might be altered, for example, in dependence on the priority of the message (as specified by the operator) or the global bitrate of the broadcast signal.

30 Once the EMM has been generated, it is optionally ciphered using Ciphering Unit 36. The EMM may be ciphered, for example, if it is desired to keep the mail message confidential.

- 19 -

The EMM, together with the broadcast start and stop time and cycle rate, are then passed to the EMM injector 104. The EMM injector is responsible for inserting the EMM into the broadcast data stream at the appropriate times, in dependence on the broadcast start and stop time and cycle rate. The EMM is stored in a database at the

5 Message Emitter (ME) 124 or 126. The EMM is inserted into the broadcast data stream by the appropriate software multiplexer (SMUX) 132 or 134, and multiplexer 4. The EMM is then transmitted in the broadcast signal for reception by the receiver/decoder 13.

10 Referring to Figure 7, receiver/decoder 13 comprises tuner 250 and demodulator 252 for receiving and demodulating, respectively, the broadcast signal. The demodulated signal is passed to demultiplexer 254, which separates the various components of the signal. Audio signals are passed to audio processor 256, video signals are passed to video processor 258, and EMMs are passed to central processing unit (CPU) 260 and 15 stored in a buffer. EMMs which are ciphered are deciphered by a deciphering unit 266 in the receiver/decoder.

A program, called a mail application, which is run on the CPU, allows the user to access Audience Mail Messages. The mail application extracts the various identifiers

20 which specify the group of users for whom the message is intended. Stored in the CPU memory are various user identifiers, such as geographic zone and customer category of the user, and commercial offers, products and sessions which the user has purchased. The mail application compares the identifiers extracted from the EMM to the corresponding user identifiers. Where logical operators are included in the logical 25 operator field 220 of the EMM, the application extracts the logical operators and applies them to the identifiers. In this way, the application is able to determine whether the user is one of the users for whom the Audience Mail Message is intended.

Taking the above example of a football match between Marseille and Paris, the

30 application would check whether the geographic zone of the subscriber was Marseille or Paris, whether the customer category was (or included) football, and whether the user had not purchased product number 1234.

If the mail application decides that the user does not fulfil the criteria, then it removes

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- 20 -

the EMM from the buffer, and takes no further action. If the application decides that it does fulfil the criteria, then it extracts the mail message 226 from the EMM and stores it in a mail message buffer ("mailbox") in the CPU memory. The application then indicates to the user that there is mail waiting. This may be done either by

5 producing an indication on the receiver/decoder display, or by displaying a message or icon on the television screen. In the latter case, graphic processor 264 is responsible for combining the message or icon with the video image.

Once the user has been informed that mail is waiting, he can access his mailbox and

10 10 display the message on the screen. The mail application is responsible for extracting the text of the message from the Audience Mail Message EMM and displaying it on the user's television screen, via graphic processor 264, in response to a request from the user.

15 15 In some circumstances, for example, if the message has been assigned a high priority, the message is displayed directly on the television screen without the user taking any action. For example, in the case of a storm warning, the message may be assigned a high priority, and the receiver/decoder would then display the message directly on the television screen.

20 Finally, when the receiver/decoder has received a mail EMM, it "burns" the EMM, so that the message is not repeatedly displayed. This is done by comparing incoming mail EMMs to ones that have already been received, by using the index 224 in the EMM. Incoming EMMs which have the same index as EMMs which have already

25 25 been received, are removed from the buffer.

To summarise, the commercial operator can send a message (ASCII string) to a group of subscribers. In order to do so, the DSTB contains a number of internal buffers in which it can store these messages. When the DSTB receives such a message, it

30 30 instructs the subscriber (via a message on the TV set, for example) to consult his "mailbox". The viewer then uses specific menus to display these messages on the TV screen.

A specific command is used to allow the SMS to send such messages. The command

- 21 -

contains the following information:

- The unique smartcard identifier
- The message itself, in the form of an ASCII string
- Optionally, the priority of the message (Normal or Urgent). If the priority is omitted, the message has normal priority.

5 The group addressed depends on optional parameters:

- No additional parameters - All the operator's smartcards are addressed.
- Commercial offer - Only smartcards possessing the commercial offer store the 10 message
- Geographic zone - Only smartcards having the specified geographical zone number store the message.
- Session number - Only smartcards possessing the session number store the message.

15 In one embodiment, only one of the methods used to select the group to be addressed can be used for any one message.

20 It will be understood that the present invention has been described above purely by way of example, and modifications of detail can be made within the scope of the invention.

25 Each feature disclosed in the description, and (where appropriate) the claims and drawings may be provided independently or in any appropriate combination.

Reference numerals appearing in the claims are by way of illustration only and shall have no limiting effect on the scope of the claims.

## CLAIMS

1. A method of broadcasting a message having a text portion to be communicated to a user, the method comprising broadcasting the message in the form 5 of an entitlement management message for reception by the user.

2. A method according to Claim 1, wherein the message includes at least one identifier to define a group of users for whom the message is intended.

10 3. A method according to Claim 2, comprising selecting said group from the totality of users and including in said message at least one identifier to define the selected group.

15 4. A method according to Claim 2 or Claim 3, wherein each identifier is an identifier of a group having a particular characteristic.

5. A method according to Claim 4, wherein the characteristic is selected from at least one of geographic area, customer category, subscription to a particular 20 commercial offer, purchase of a particular product, and purchase of a particular session.

6. A method according to any of Claims 2 to 5, wherein the message includes at least one operator defining how a plurality of said identifiers are to be combined.

25 7. A method according to any preceding claim, wherein the message includes a type identifier identifying that the message is intended for a group of users only.

30 8. A method according to any preceding claim, wherein the message includes a type specifier specifying that the message is of a type which includes a text portion.

9. A method according to any preceding claim, wherein the message

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SERIALIZED FILED

- 23 -

includes a priority specifier for specifying the priority of the message.

10. A method according to any preceding claim, wherein the message includes a signature for verifying the contents of the message.

5

11. A method according to any preceding claim, wherein the message is encrypted.

10 12. A method according to any preceding claim, wherein the message is repetitively broadcast at a predetermined cycle rate.

13. A method of communicating a message having a text portion to a user, the method comprising receiving at a receiver/decoder the message in the form of an entitlement management message, and outputting from the receiver/decoder a signal 15 representative of the text portion for communication to the user.

14. A method according to Claim 13, wherein the message includes at least one identifier to define a group of users for whom the message is intended.

20 15. A method according to Claim 14, comprising determining whether the user is in the group of users and outputting said signal representative of the text portion if the user is in the group of users.

25 16. A method according to Claim 15, wherein the determining step comprises comparing said at least one identifier with at least one identifier stored at the receiver/decoder.

30 17. A method according to any of Claims 14 to 16, wherein the message includes at least one operator defining how a plurality of said identifiers are to be combined, the receiver/decoder applying said at least one operator to said plurality of identifiers to determine whether the user is in the group of users.

18. A method according to any of Claims 13 to 17, comprising generating a signal for advising the user of receipt of the message by the receiver/decoder.

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- 24 -

19. A method according to any of Claims 13 to 18, wherein the signal representative of the text portion is output in response to a request from the user.

20. A method according to any of Claims 13 to 19, wherein the signal representative of the text portion is output automatically by the receiver/decoder.

21. A method according to Claim 20, wherein the signal is output automatically from the receiver/decoder in dependence on the value of a priority specifier included in the message which specifies the priority of the message.

10 22. Apparatus for generating a message having a text portion to be communicated to a user, said apparatus comprising means for generating the message in the form of an entitlement management message for reception by the user.

15 23. Apparatus according to Claim 22, wherein the message includes at least one identifier to define a group of users for whom the message is intended.

20 24. Apparatus according to Claim 23, comprising means for selecting said group from the totality of users and means for including in said message at least one identifier to define the selected group.

25 25. Apparatus according to Claim 23 or Claim 24, wherein each identifier is an identifier of a group having a particular characteristic.

26 26. Apparatus according to Claim 25, wherein the characteristic is selected from at least one of geographic area, customer category, subscription to a particular commercial offer, purchase of a particular product, and purchase of a particular session.

30 27. Apparatus according to any of Claims 23 to 26, comprising means for including in said message at least one operator defining how a plurality of said identifiers are to be combined.

28. Apparatus according to any of Claims 22 to 27, comprising means for

- 25 -

including in said message a type identifier identifying that the message is intended for a group of users only.

29. Apparatus according to any of Claims 22 to 28, comprising means for  
5 including in said message a type specifier specifying that the message is of a type  
which includes a text portion.

30. Apparatus according to any of Claims 22 to 29, comprising means for  
including in said message a priority specifier for specifying the priority of the  
10 message.

31. Apparatus according to any of Claims 22 to 30, comprising means for  
generating a signature for verifying the contents of the message.

15 32. Apparatus according to any of Claims 22 to 30, comprising means for  
encrypting the message.

33. Apparatus for broadcasting a message generated by apparatus according  
to any of Claims 22 to 32, comprising means for broadcasting said message in the  
20 form of an entitlement management message to a user's receiver/decoder.

34. Apparatus according to Claim 33, adapted to repetitively broadcast the  
message at a predetermined cycle rate.

25 35. A receiver/decoder comprising means for receiving a message in the  
form of an entitlement management message having a text portion, and output means  
for outputting a signal representative of the text portion for communication to a user.

36. A receiver/decoder according to Claim 35, wherein the message includes  
30 at least one identifier to define a group of users for whom the message is intended.

37. A receiver/decoder according to Claim 36, comprising means for  
determining whether the user is in the group of users, said output means outputting  
said signal representative of the text portion if the user is in the group of users.

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- 26 -

38. A receiver/decoder according to Claim 37, comprising means for comparing said at least one identifier with at least one identifier stored at the receiver/decoder to determine whether the user is in the group of users.

5 39. A receiver/decoder according to any of Claims 36 to 38, wherein the message includes at least one operator defining how a plurality of said identifiers are to be combined, the receiver/decoder comprising means for applying said at least one operator to said plurality of identifiers to determine whether the user is in the group of users.

10 40. A receiver/decoder according to any of Claims 35 to 39, comprising means for generating a signal for advising the user of receipt of the message by the receiver/decoder.

15 41. A receiver/decoder according to any of Claims 35 to 40, comprising means for receiving a request from the user for output of the signal representative of the text portion.

20 42. A receiver/decoder according to any of Claims 35 to 40, wherein the output means is adapted to output automatically the signal representative of the text portion.

25 43. A receiver/decoder according to Claim 42, wherein the output means is adapted to output automatically said signal in dependence on the value of a priority specifier included in the message which specifies the priority of the message.

44. An entitlement management message comprising a text portion for communication to a user.

30 45. A message according to Claim 44, including at least one identifier to define a group of users for whom the message is intended.

46. A message according to Claim 45, wherein each identifier is an identifier of a group having a particular characteristic.

- 27 -

47. A message according to Claim 46, wherein the characteristic is selected from at least one of geographic area, customer category, subscription to a particular commercial offer, purchase of a particular product, and purchase of a particular session.

5

48. A message according to any of Claims 45 to 47, including at least one operator defining how a plurality of said identifiers are to be combined.

49. A message according to any of Claims 44 to 48, including a type identifier identifying that the message is intended for a group of users only.

50. A message according to any of Claims 44 to 49, including a type specifier specifying that the message is of a type which includes a text portion.

15 51. A message according to any of Claims 44 to 50, including a priority specifier for specifying the priority of the message.

52. A method according to any of Claims 44 to 51, including a signature for verifying the contents of the message.

20

53. A signal comprising an entitlement management message according to any of Claims 44 to 52.

54. A method of broadcasting, or communicating, a message substantially

55. Apparatus for generating, or broadcasting, a message substantially as

56 A receiver/decoder substantially as herein described.

52. An entitlement management message substantially as herein described.

58 A signal substantially as herein described.

1/5

FIG. 1

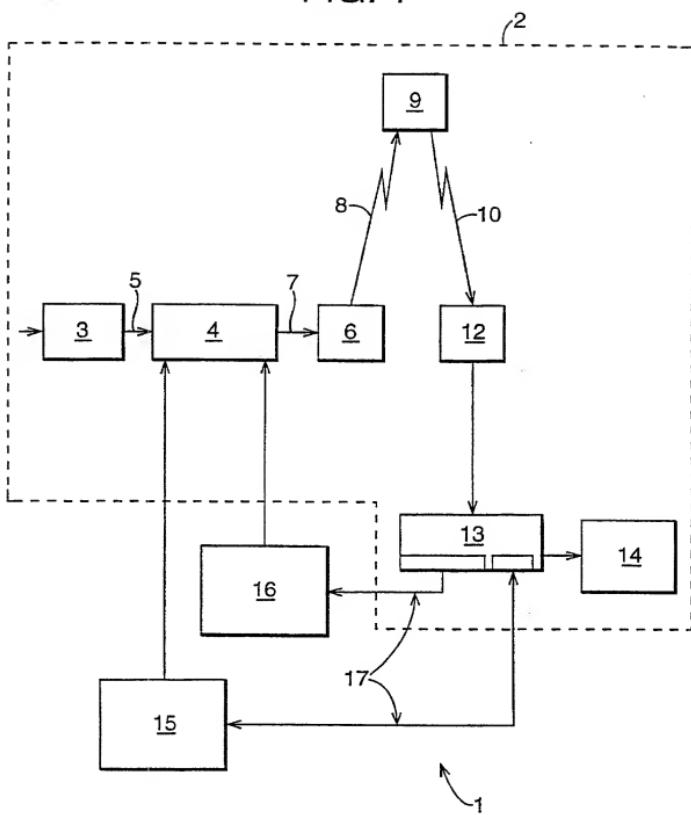
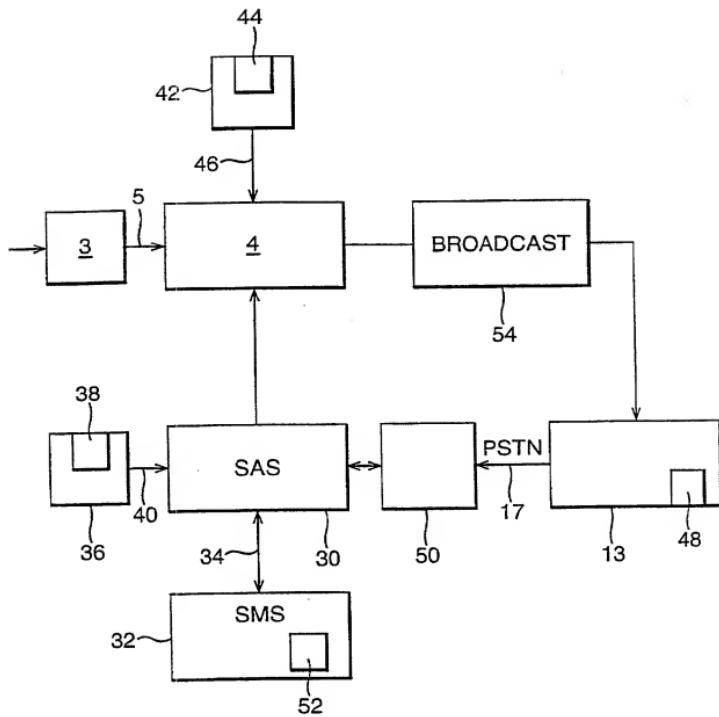


FIG. 2



3/5

FIG. 3

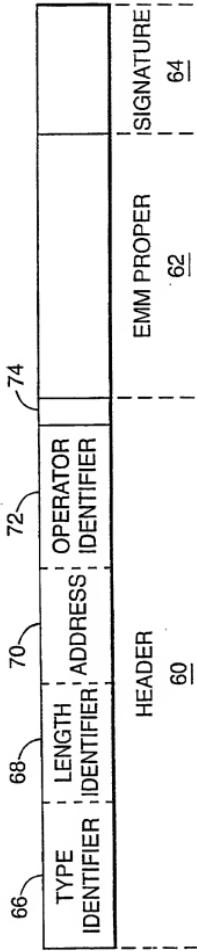


FIG. 5

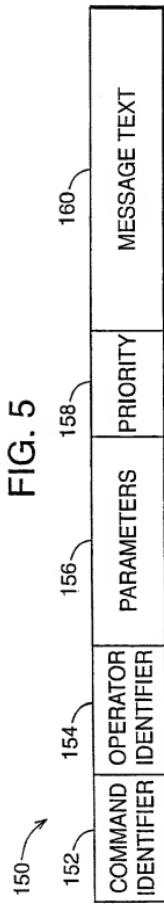
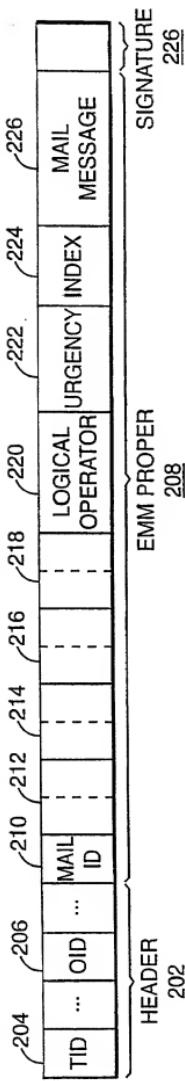
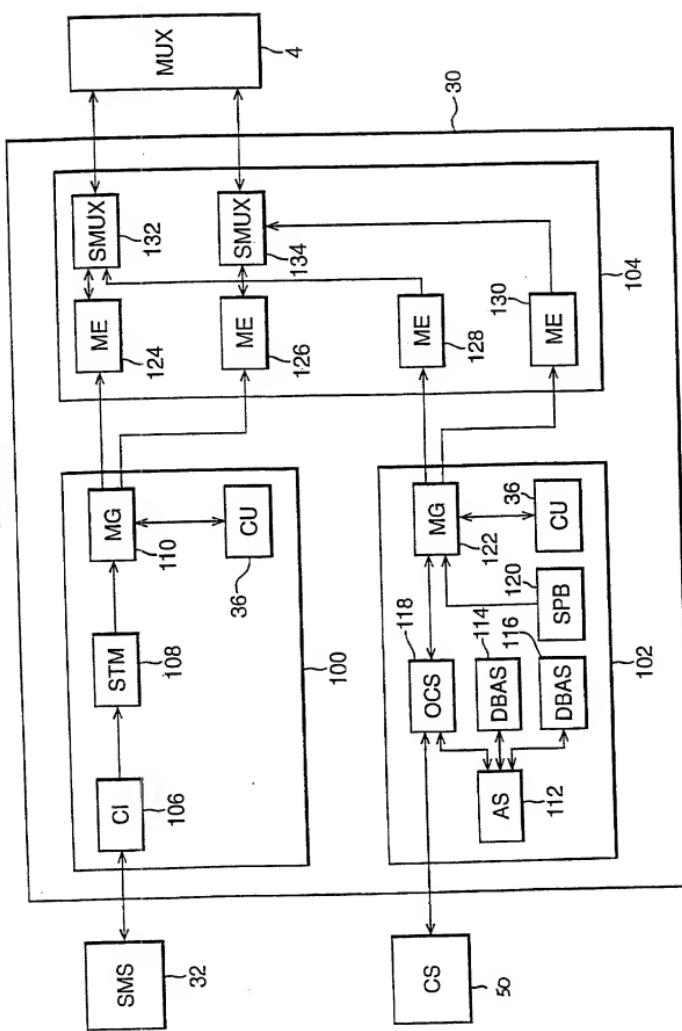


FIG. 6



4/5

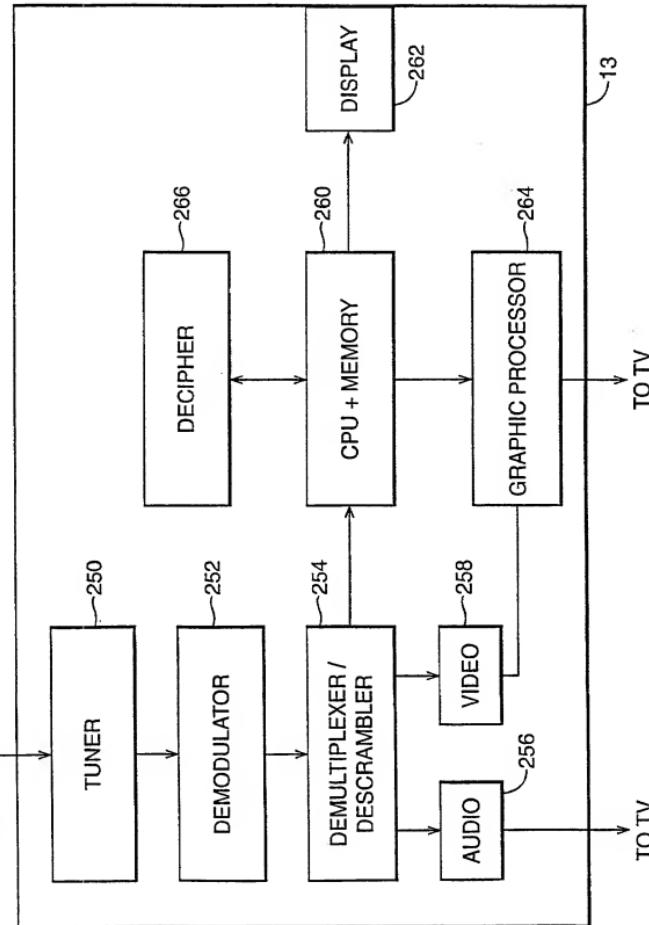
FIG. 4



5/5

FIG. 7

FROM SATELLITE DISH



# Declaration and Power of Attorney for Patent Application

## Déclaration et Pouvoirs pour Demande de Brevet

### French Language Declaration

En tant que l'inventeur nommé ci-après, je déclare par le présent acte que:

Mon domicile, mon adresse postale et ma nationalité sont ceux figurant ci-dessous à côté de mon nom.

Je crois être le premier inventeur original et unique (si un seul nom est mentionné ci-dessous), ou l'un des premiers co-inventeurs originaux (si plusieurs noms sont mentionnés ci-dessous) de l'objet revendiqué, pour lequel une demande de brevet a été déposée concernant l'invention intitulée

et dont la description est fournie ci-joint à moins que la case suivante n'ait été cochée:

a été déposée le \_\_\_\_\_ sous le numéro de demande des Etats-Unis ou le numéro de demande international PCT \_\_\_\_\_

et modifiée le \_\_\_\_\_ (le cas échéant).

Je déclare par le présent acte avoir passé en revue et compris le contenu de la description ci-dessus, revendications comprises, telles que modifiées par toute modification dont il aura été fait référence ci-dessus.

Je reconnaiss devoir divulguer toute information pertinente à la brevetabilité, comme défini dans le Titre 37, § 1.56 du Code fédéral des réglementations.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

#### BROADCASTING AND RECEIVING MESSAGES

the specification of which is attached hereto unless the following box is checked:

was filed on 3 July 2000 as United States Application Number or PCT International Application Number PCT/IB00/00955 and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### French Language Declaration

Je revendique par le présent acte avoir la priorité étrangère, en vertu du Titre 35, § 119(a)-(d) ou § 365(b) du Code des Etats-Unis, sur toute demande étrangère de brevet ou certificat d'inventeur ou, en vertu du Titre 35, § 365(a) du même Code, sur toute demande internationale PCT désignant au moins un pays autre que les Etats-Unis et figurant ci-dessous et, en cochant la case, j'ai aussi indiqué ci-dessous toute demande étrangère de brevet, tout certificat d'inventeur ou toute demande internationale PCT ayant une date de dépôt précédant celle de la demande à propos de laquelle une priorité est revendiquée.

I hereby claim foreign priority under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below, and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior foreign application(s)  
Demande(s) de brevet antérieure(s)

Priority Not Claimed  
Droit de priorité revendiqué

99401680.6  
(Number)  
(Numéro)

5 July, 1999  
(Day/Month/Year Filed)  
(Jour/Mois/Année de dépôt)

199401958.6  
(Number)  
(Numéro)

30 July, 1999  
(Day/Month/Year Filed)  
(Jour/Mois/Année de dépôt)

Je revendique par le présent acte tout bénéfice, en vertu du Titre 35, § 19(e) du Code des Etats-Unis, de toute demande de brevet provisoire effectuée aux Etats-Unis et figurant ci-dessous.

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

11  
(Application No.)  
(N° de demande)

(Filing Date)  
(Date de dépôt)

Application No.  
(N° de demande)

(Filing Date)  
(Date de dépôt)

l'revendique par le présent acte tout bénéfice, en vertu du Titre 35, § 120 du Code des Etats-Unis, de toute demande de brevet effectuée aux Etats-Unis, ou en vertu du Titre 35, § 365(c) du même Code, de toute demande internationale PCT désignant les Etats-Unis et figurant ci-dessous et, dans la mesure où l'objet de chacune des revendications de cette demande de brevet n'est pas divulgué dans la demande antérieure américaine ou internationale PCT, en vertu des dispositions du premier paragraphe du Titre 35, § 112 du Code des Etats-Unis, je reconnais devoir divulguer toute information pertinente à la brevetabilité, comme défini dans le Titre 37, § 1.56 du Code fédéral des règlementations, dont j'ai pu disposer entre la date de dépôt de la demande antérieure et la date de dépôt de la demande nationale ou internationale PCT de la présente demande:

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

PCT/IB00/00955  
(Application No.)  
(Nº de demande)

3 July, 2000  
(Filing Date)  
(Date de dépôt)

Pending  
(Status) (patented, pending, abandoned)  
(Statut) (breveté, en cours d'examen, abandonné)

(Application No.)  
(N° de demande)

(Filing Date)  
(Date de dépôt)

(Status) (patented, pending, abandoned)  
(Statut) (breveté, en cours d'examen, abandonné)

Je déclare par le présent acte que toute déclaration ci-incluse est, à ma connaissance, vérifiable et que toute déclaration formulée à partir de renseignements ou de suppositions est tenue pour vérifiable, et de plus, que toutes ces déclarations ont été formulées en sachant que toute fausse déclaration volontaire ou son équivalent est passible d'une amende ou d'une incarcération, ou des deux, en vertu de la Section 1001 du Titre 18 du Code des Etats-Unis, et que de telles déclarations volontairement fausses risquent de compromettre la validité de la demande de brevet ou du brevet délivré à partir de celle-ci.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**French Language Declaration**

**POUVOIRS:** En tant que l'inventeur cité, je désigne par la présente l'(es) avocat(s) et/ou agent(s) suivant(s) pour qu'ils poursuive(n) la procédure de cette demande de brevet et traiter(nt) toute affaire s'y rapportant avec l'Office des brevets et des marques: (mentionner le nom et le numéro d'enregistrement).

Adresser toute correspondance à:

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: (list name and registration number).

Send Correspondence to:

ROSENTHAL & OSCHA L.L.P.  
700 Louisiana, Suite 4550  
Houston, Texas 77002

Adresser tout appel téléphonique à: (nom et numéro de téléphone)

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Nom complet de l'unique ou premier inventeur		Full name of sole or first inventor Laurent FICHET	
Signature de l'inventeur	Date	Inventor's signature	Date <u>06/12/2001</u>
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Signature du second inventeur	Date <u>06/12/2001</u>	Second Inventor's signature	Date <u>06/12/2001</u>
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Nationalité		Citizenship	France
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(Fournir les mêmes renseignements et la signature de tout co-inventeur supplémentaire.)

(Supply similar information and signature for third and subsequent joint inventors.)